

## compulsory jurisdiction – overview of general levy for 2003/04 and 2004/05

(this table is included for information only, and is part of the FSA's consultation paper CP208)

industry block	description	tariff basis	proposed tariff rate £	2003/04 tariff rate £	proposed minimum levy per firm £	proposed total £	2003/04 total £	proposed contributions by block %	contribution by block % 2003/04
1	Deposit acceptors, mortgage lenders and administrators (excluding firms in block 14)	Per relevant account	0.0069	0.0125	200	£1,642,886	£2,618,000	13.14%	21.95%
2	Firms that undertake insurance activities subject to prudential regulation only (excluding firms in blocks 13 & 15)	Per £1,000 of relevant annual gross premium income	0.081	0.116	200	£1,569,393	£2,113,440	12.55%	17.71%
3	Society of Lloyds		n/a	n/a	n/a	£47,266	£88,060	0.38%	0.74%
4	Firms that undertake insurance activities subject to both prudential and conduct of business regulation (long-term life insurers) (excluding firms in block 15)	Per £1,000 of relevant adjusted annual gross premium income	0.093	0.092	100	£4,654,804	£4,522,000	37.24%	37.91%
5	Fund managers (including those holding client money/assets and not holding client money/assets)	Per £1,000 relevant funds under management	0.00188	0.00187	100	£805,275	£880,600	6.44%	7.38%
6	Operators, Trustees & Depositories of collective investment schemes	Per £1,000 relevant annual gross income	0.193	0	75	£284,716	£28,875	2.28%	0.24%
7	Dealers as Principal	Per relevant trader	0	0	75	£16,950	£35,100	0.14%	0.29%
8	Advisory Arrangers, dealers or brokers holding and controlling client money and/or assets	Per relevant approved person	65	35	75	£2,233,820	£833,000	17.87%	6.98%
9	Advisory Arrangers, dealers or brokers NOT holding and controlling client money and/or assets	Per relevant approved person	30	20	75	£1,163,790	£714,000	9.31%	6.00%
10	Corporate Finance Advisors	Per relevant approved person	0	0	75	£41,850	£46,350	0.33%	0.39%
11	NOT APPLICABLE IN 2004/05		0	0	0	£0	£3,225	0.00%	0.03%
12	NOT APPLICABLE IN 2004/05								
13	Cash Plan Health Providers	Per £1,000 of relevant annual gross premium income	0	0	50	£900	£1,150	0.01%	0.01%
14	Credit Unions	Per £ gross assets	0	0	50	£28,750	£34,400	0.23%	0.29%
15	Friendly Societies whose tax-exempt business represents 95% or more of their total relevant business	Per £ relevant annual gross premium income	0	0	50	£9,600	£9,350	0.08%	0.08%
					Total – all blocks	£12,500,000	£11,927,550	100.00%	100.00%